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The Origins of the Code Noir Revisited

Alan Watson*

In a recent article, *The Origins and Authors of the Code Noir*, my friend Vernon Palmer graciously and courteously took me to task for claiming that the law in the *Code Noir* was not made "on the spot" in the Antilles, but in Paris. He also said of me and of Hans Baade, "neither author *appears* to have investigated the actual circumstances of the Code's redaction." I can speak only for myself, and I confess with shame that Professor Palmer is quite correct. I did not investigate the actual circumstances of the redaction of the *Code Noir*. And I should have. I made an assumption based on the drafting of the Code in Paris, and its dating from Paris, January, 1685.

My position was: "The French solution to the problem of slave law for the colonies was extensive royal legislation emanating from France, in which Roman law principles predominated." Professor Palmer's conclusion is very different:

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^{1.} Vernon V. Palmer, The Origins and Authors of the Code Noir, 56 LA. L. REV. 363 (1995).

See id. at 365 (citing Alan Watson, Slave Law in the Americas 85 (1989)).

^{3.} Hans W. Baade, *The Law of Slavery in Spanish Louisiana*, 1769-1803, in LOUISIANA'S LEGAL HERITAGE 43, 53 (Edward F. Haas ed., 1983).

^{4.} Palmer, supra note 1, at 366.

^{5.} ALAN WATSON, SLAVE LAW IN THE AMERICAS 85 (1989) [hereinafter WATSON, SLAVE LAW].

I believe that the word *experience* plays a very important role in the origins and the evolution of France's *Code Noir*. For fifty years before the *Code Noir* emerged, French colonists and administrators were developing new laws and customs to regulate slavery, and Colbert's concept of codification largely ensured that they would build upon these antecedents. It is a myth to think that codification succeeded in the Antilles only because the Romans prepared the path. It is a myth to think that all roads lead to Rome or that every parallel is a provenance.⁶

In general, moreover, Professor Palmer appears to believe that when Roman law and the *Code Noir* do have similar rules, such a circumstance is not necessarily the result of borrowing.⁷

Having admitted my failing, I now want to claim that my position is nonetheless closer to historical accuracy than is Professor Palmer's conclusion. My position was flawed; Professor Palmer's conclusion is deeply misleading. The issue is far more important than just the authorship and origins of the *Code Noir*. It involves the questions of experience for law making, the role of borrowing, and of our approach to a foreign system.

The crux of Professor Palmer's argument is his understanding of the *Avant-Projet* of 1683, which was prepared in the Antilles at St. Christophe, and which he helpfully reproduces, and it is convenient to start from there. 10

As Professor Palmer says, the *Avant-Projet* consists of fifty-two articles arranged in seven titles.¹¹ Title I is "De la Religion" (On Religion).¹² As Professor Palmer states, all of the eleven provisions of Title I, save one, became the foundation of the *Code Noir*, articles 1-14 (though he would have been more accurate if he had stated articles 2-14).¹³ These provisions and articles in general provide that slaves can have no religion other than Roman Catholicism; that masters must train newly arrived slaves in that religion and have slaves baptized, married, and buried within the Church; and that there is to be no slave

^{6.} Palmer, supra note 1, at 390.

^{7.} See id. at 366-67.

^{8.} See id. at 378-80.

^{9.} *See id.* at 391-407 app.

^{10.} It must be pointed out that somehow, in Professor Palmer's article, the Louisiana Law Review confused the pages of the *Avant-Projet*. The pages of the Review should be in the following order: 392, 393, 394, 395, 397, 396, 402, 398, 399, 400, 401, 406, 404, 403, 405, 407. *See id*.

^{11.} See id. at 379.

^{12.} AVANT-PROJET Title I (1683).

^{13.} See CODE NOIR arts. 2-14 (1685); Palmer, supra note 1, at 381.

labor on Sunday.¹⁴ Professor Palmer correctly observes that these rules "all had church and local antecedents without Roman connotations,"¹⁵ but he fails to see the significance of this observation, namely, that there was just no Roman law on these issues. How could Roman law be influential in matters on which there was no Roman law?

There are two reasons for this lack of Roman law. The first is that to a remarkable degree, Roman private law kept out of the private dealings of Roman citizens.16 Thus, during most of its history there were no necessary forms for a marriage, 17 no compulsory forms for divorce, 18 no necessary grounds for divorce (though in Justinian's time there were penalties for unwarranted divorce). 19 Again, Roman law with one exception for a short time under Diocletian and again under Justinian—did not interfere with the terms of a contract freely entered into without fraud.²⁰ The limited exception was the so-called *laesio* enormis: a sale of land at which the price fixed was less than half the true value could be set aside.²¹ Roman slave law was no different: the law did not interfere with the owner in his behavior toward his slaves, again with limited exceptions. In general, any owner could free any slave without hindrance from the state,²² without cause stated. The state did not regulate the owner's education of slaves, work hours of slaves, or their clothing or feeding. There is simply no law on the subject. Even the peculium, the fund allotted to a slave to be used as if it were his own (within the owner's discretion), had legal meaning only when outsiders were involved. If outside interests were not affected, the slave's owner could augment or diminish it as much as he wished without interference of law.²³

^{14.} See CODE NOIR arts. 2-14; AVANT-PROJET Title I.

^{15.} Palmer, supra note 1, at 381.

^{16.} This is so to such an extent that in my book entitled THE SPIRIT OF ROMAN LAW, I even have a chapter entitled "Law Keeps Out." ALAN WATSON, THE SPIRIT OF ROMAN LAW 172-79 (1995) [hereinafter WATSON, ROMAN LAW].

^{17.} See, e.g., J.A.C. THOMAS, TEXTBOOK OF ROMAN LAW 423f (1976).

^{18.} See, e.g., id. at 425f.

^{19.} See, e.g., id. at 426f.

^{20.} See WATSON, ROMAN LAW, supra note 16, at 172-73.

^{21.} See CODE JUST. 4.44.2; id. 4.44.4; WATSON, ROMAN LAW, supra note 16, at 176-79.

^{22.} See, e.g., ALAN WATSON, ROMAN SLAVE LAW 23-24 (1987) [hereinafter WATSON, SLAVE LAW].

^{23.} See generally id. at 90-101 (discussing slaves' contracts and the peculium).

Professor Palmer points out²⁴ that in this area "the Spirit of French Law" was very different from "the Spirit of Roman Law." France, he writes, took the view that in this context "Law must regulate." I agree with him. But in the context of legal borrowing and dependency on a foreign system, "the Spirit of the Law" cannot be borrowed. What are borrowed are legal rules and institutions where they exist.

The second reason for the lack of Roman law is that despite the Roman empire having become Christian centuries before, Christianity had invaded Roman private law only to a tiny extent. The clearest proof is that after the *proemium*, Justinian's *Institutes*, the textbook for first-year law students which was enacted as statute in 533, contains not one single reference to Christianity, Jesus, God the Father, the Virgin Mary, the holy apostles, or the fathers of the Church. This, despite the fascination with theological dispute that so occupied sixth-century Byzantium. Because of the lack of input from Christianity, Roman slaves could not marry even in the time of Justinian. Hence, legal issues involving slave husband, wife, and family in the Antilles could have no Roman forebears. Christianity had no obvious impact on Roman slave law. From the absence of Roman-law influence on articles 2-14 of the *Code Noir* no inference whatever can be drawn against the general influence of Roman law.²⁶

The first provision of the *Code Noir* sought to banish Jews from the islands.²⁷ *Pace* Professor Palmer, I have never suggested "that the French monarch was rotely reiterating old Roman strictures, dating from the era of Constantine, which forbade Jews to own Christian slaves." In the page of my article referred to,²⁹ there is no reference to exiling Jews, to France, or to the French Antilles.³⁰ Nor do I recognize my position in the statement: "Watson argues that Louis XIV's slave code rotely followed in the Roman traces, but with one

^{24.} See Letter from Vernon Valentine Palmer to Alan Watson (Nov. 26, 1996) (on file with author).

^{25.} Id.

^{26.} The slight impact of Christianity on Roman Law even in the time of Justinian is one example of the obvious, but often underestimated, phenomenon that law is so conservative that it often fails to change when society changes. See generally ALAN WATSON, SOCIETY AND LEGAL CHANGE (1977) (discussing this phenomenon).

^{27.} See CODE NOIR art. 1 (1685); Palmer, supra note 1, at 381.

^{28.} Palmer, supra note 1, at 381.

^{29.} See id. at n.64 (citing WATSON, SLAVE LAW, supra note 5, at 34).

^{30.} WATSON, SLAVE LAW, supra note 5, at 34.

difference."³¹ There were many differences from Roman slave law. What I did say in this context was: "It is of interest, though, that, since by article I of the edict of March 1685 there could be no Jewish colonists, there was no need for a provision, corresponding to Roman and Spanish law, forbidding Jews to have Christian slaves."³² I cannot accept as accurate Professor Palmer's statement: "Here then is another example of an extravagant claim of Roman-law influence made in disregard of the Code's immediate history."³³ I made no such claim.

Title II of the *Avant-Projet*, "De la nourriture, vestement, et Conservation des Esclaves" (On the food, clothing, and Preservation of Slaves),³⁴ is reflected in articles 22-27 of the *Code Noir* of 1685.³⁵ Roman law had no provisions on subjects such as supplying alcohol to slaves, minimum food and clothing rations, and giving slaves time off to farm in lieu of providing rations, so there could be no Roman-law influence. Here as elsewhere, and as already noted, the Roman principle was "Law keeps out."

Professor Palmer is quite correct that article 27 of the *Code Noir*, to the effect that masters should look after their sick or infirm slaves or pay six *sols* per day to the hospital,³⁷ is a different solution from that of the Emperor Claudius,³⁸ who directed that abandoned sick slaves became free.³⁹

Title III, "De La Police" (On Police), 40 deals with security measures and is to a great extent accepted in articles 15-21 of the *Code Noir*. 41 Avant-Projet Title III, 1, which states that slaves cannot sell in the market without having a letter of permission, 42 is the *Code Noir*'s article 19; 43 Avant-Projet Title III, 3, which provides that such goods may be seized by any citizen, 44 is the Code's article 21; 45 and Avant-

^{31.} Palmer, supra note 1, at 382, n.65.

^{32.} WATSON, SLAVE LAW, supra note 5, at 90.

^{33.} Palmer, *supra* note 1, at 382-83.

^{34.} AVANT-PROJET Title II (1683).

^{35.} CODE NOIR arts. 22-27 (1685).

^{36.} For the principle, "Law keeps out," in Roman law see generally WATSON, ROMAN LAW, *supra* note 16, at 172-79.

^{37.} CODE NOIR art. 27.

^{38.} See SUETONIUS, THE LIVES OF THE TWELVE CAESARS 288-89 (Philemon Holland trans., 1965) (Claudius).

^{39.} See Palmer, supra note 1, at 383.

^{40.} See AVANT-PROJET Title III (1683).

^{41.} CODE NOIR arts. 15-21.

^{42.} AVANT-PROJET Title III. 1.

^{43.} CODE NOIR art. 19.

^{44.} AVANT-PROJET Title III, 3.

^{45.} See CODE NOIR art. 21.

Projet Title III, 2, stating that officials are to be placed in the markets to enforce these provisions,⁴⁶ is the Code's article 20.⁴⁷ Similarly *Avant-Projet* Title III, 4 and 5, prohibiting slaves from assembling⁴⁸ and making owners who tolerate such behavior pay damages,⁴⁹ became the Code's articles 16 and 17.⁵⁰

There is no trace of Roman-law influence in these provisions or articles but again the significance is not what Professor Palmer would have us believe. The Romans must have had some regulations on such matters but, quite simply, neither we nor the draftsmen of the *Code Noir* have any knowledge of what these were.

This is not another instance of "Law keeps out," but is an example of how partial is our knowledge of Roman law. A legal system presents different faces to various groups: to the elite making the law, to the sophisticated living the law, to the poor suffering under the law, and to the outsider looking in. As I wrote in a different context:

For Roman law we all have to be outsiders looking in; there are no surviving Romans living the system. But the face presented to the outsiders is essentially that painted by the lawmaking elite, and especially by the jurists. The legal sources that survive reflect accurately their concerns but give little feel for how the law impacted on the population as a whole or even on the upper echelons. Thus, for example, to remain with slave law for a moment, whereas many laws survive from English-speaking America regulating the number or proportion of white free males on each plantation, only one nonlegal text proves that similar rules also existed in the Roman world. The jurists were not interested in policing matters. Likewise, there is virtually no information in the legal sources on the building regulations that must have existed in each city or (apart from the important clauses in the aedilitian Edict on the sale of slaves and beasts) on the regulation of the street markets.

The Roman jurists were very much from the upper classes, and at an early date they had been given the power to act as interpreters of

^{46.} AVANT-PROJET Title III, 2.

^{47.} See CODE NOIR art. 20.

^{48.} AVANT-PROJET Title III, 4.

^{49.} See id. Title III, 5.

^{50.} See CODE NOIR arts. 16-17.

^{51.} See SUETONIUS, THE LIVES OF THE TWELVE CAESARS, supra note 38, at 31-32 (Julius Caesar).

^{52.} See O.F. ROBINSON, ANCIENT ROME: CITY PLANNING AND ADMINISTRATION 33-34 (1992).

^{53.} WATSON, ROMAN LAW, supra note 16, at 33-34.

private law. Their concern was the prestige that accrued from skill in interpretation of this private law. Other matters of law were beneath their notice. Market regulation is one good example. In the whole of the Digest, only two texts mention the market:⁵⁴ one tells us that the meat trade is supervised by the prefecture;⁵⁵ the other that even a person who conducts business in the market will be considered as hiding (from creditors) if he lurks around pillars or stalls.⁵⁶ Once again, no general conclusion about the influence of Roman law can be drawn from its absence from Title III of the *Avant-Projet* and the corresponding articles of the *Code Noir*.

Title IV of the *Avant-Projet*, "Des crimes, peines et chastiments" (On crimes, penalties, and punishments), ⁵⁷ is the basis of articles 32-43 of the *Code Noir*. ⁵⁸ Because it is the largest title, I will deal with the rules in the order of the articles of the Code.

Article 32 of the *Code Noir* states that slaves could be sued in a criminal action with no need to make the owner a party unless he was an accomplice.⁵⁹ This corresponds to Roman law,⁶⁰ though I am not suggesting a borrowing. Still, it is noteworthy that this article of the *Code Noir* has no forerunner in the *Avant-Projet*.

Article 33, following with variations *Avant-Projet* Title IV, 4, declares that a slave who struck his owner or his owner's wife, mistress, or children and drew blood will be punished by death.⁶¹ There is no parallel in Roman law: this is precisely where Roman law does not come between owner and slave. "Law keeps out." The nature of any punishment is the business of the owner.

Articles 34, 35, and 36, which generally follow *Avant-Projet* Title IV, 4, 5, and 6, punish severely injuries to free persons and particular types of theft.⁶² Roman law could be roughly similar, but I see no need to postulate borrowing.

Article 37, in line with Avant-Projet Title IV, 7, compels owners to make good the wrong caused by their slaves' theft or other injury

^{54.} See id. at 49-50.

^{55.} See Dig. 12.1.11.1 (Ulpian, Duties of Prefect of the City).

^{56.} See DIG. 4.42.7.13 (Ulpian, Edict 59).

^{57.} AVANT-PROJET Title IV (1683).

^{58.} CODE NOIR arts. 32-43 (1685).

^{59.} See id. art. 32.

^{60.} This appears from texts of Justinian's *Digest*, such as DIG. 3.48.2 pr. (Papinian, Adulteries 1) and 2.48.7.4 (Ulpian, Duties of Proconsul 7).

^{61.} See CODE NOIR art. 33; AVANT-PROJET Title IV, 4.

^{62.} See CODE NOIR arts. 34-36; AVANT-PROJET Title IV, 4-6.

unless they prefer to abandon the slave to the injured person.⁶³ For Professor Palmer this rule is clearly indigenous⁶⁴ and is not a borrowing from Roman noxal surrender as suggested by Professor Jaubert, 65 and by myself. 66 Professor Palmer claims that Roman law held no "intellectual monopoly on such a simple conception as abandonment of an offending object in lieu of damages,"67 and he claims that the concept existed at English law in the shape of deodand.⁶⁸ Alas, this is not so. English deodand has little in common with Roman noxal surrender or the rule in the Code Noir. English deodand did not apply when the injurer was a slave, son, or other subordinate person, but only when the injury was caused by a thing or a personal chattel. It did not apply in theft or minor personal injuries, but only when a person was killed. The owner did not have the choice of surrendering the chattel if he preferred not to pay; the chattel had to be surrendered. Not only that, it was not surrendered to the victim but was forfeited to the king.⁶⁹

Article 38 declares that a runaway slave who remains in flight for a month will have his ears cut off and will be branded with a *fleur du lis* on one shoulder. For a second such offense he will be hamstrung, and marked with a *fleur du lis* on the other shoulder. For a third offense he will be put to death. This article derives from *Avant-Projet* Title IV, 8. There is no parallel in Roman law. It, therefore, could not have been borrowed. The issue of runaways under Roman

^{63.} See CODE NOIR arts. 37; AVANT-PROJET Title IV, 7.

^{64.} See Palmer, supra note 1, at 376-77.

^{65.} See Pierre Jaubert, Le Code Noir et le droit romain, in HISTOIRE DU DROIT SOCIAL: MÉLANGES EN HOMMAGE À JEAN IMBERT 321, 328 (1989). More generally, Professor Palmer claims that Professor Jaubert "made many imaginative connections between Roman law and the Code Noir." Palmer, supra note 1, at 376. My position and that of Professor Jaubert are not the same, so my purpose here is not to defend him. It is unfortunate that for Roman-law impact on the Code Noir Professor Jaubert relies at times on the Institutes of Gaius of around 161 A.D. Not only was the Roman law that was relevant for the Code Noir not that of Gaius but of Justinian of 533 and 534, but Gaius' Institutes had been lost and were not rediscovered until the nineteenth century.

^{66.} See WATSON, SLAVE LAW, supra note 5, at 86.

^{67.} Palmer, supra note 1, at 377.

^{68.} See id.

^{69.} See 1 WILLIAM BLACKSTONE, COMMENTARIES OF THE LAWS OF ENGLAND 290-92 (Clarendon Press 1765).

^{70.} See CODE NOIR art. 38 (1685).

^{71.} See id.

^{72.} See id.

^{73.} AVANT-PROJET Title IV, 8 (1683).

law was between the slave and his owner—another example of "Law keeps out."

Article 39 gives an action against free persons who gave shelter in their houses to runaway slaves,⁷⁴ and it has some correspondence with *Avant-Projet* Title IV, 15.⁷⁵ The *Avant-Projet*, however, gives the action against any inhabitant.⁷⁶ The action is somewhat similar to the Roman *actio de servo corrupto*, but that need not have been influential.

Article 40, which gives recompense to an owner whose slave is executed,⁷⁷ has no parallel in Roman law, but is related to *Avant-Projet* Title IV, 11.⁷⁸

Article 41 provides that actions against slaves will not be at the cost of their owners, ⁷⁹ and it has a counterpart in *Avant-Projet* Title IV, 10.⁸⁰

Article 43 permits masters to punish but not to torture or mutilate their slaves,⁸¹ and it partly derives from *Avant-Projet* Title IV, 1.⁸² Roman law was similar.⁸³

Title V of the *Avant-Projet*, "Des Temoinages, des donnations, Successions et actions des Esclaves" (Witnessing, gifts, Successions and actions of Slaves), ⁸⁴ is, as Professor Palmer says, "short and skeletal." The three provisions disqualified slaves as witnesses in civil and criminal cases; ⁸⁶ denied them testamentary, contractual, and donative capacity to dispose of, acquire, or receive property; ⁸⁷ and made them incapable of being sued in civil proceedings. ⁸⁸ Professor Palmer correctly tells us that Paris enlarged these incapacities in several ways. ⁸⁹ I offer the following translation of the *Code Noir*'s articles on slaves' acquisitions and contracts:

^{74.} See CODE NOIR art. 39.

^{75.} See AVANT-PROJET Title IV, 15.

^{76.} See id.

^{77.} See CODE NOIR art. 40.

^{78.} See Avant-Projet Title IV, 11.

^{79.} See CODE NOIR art. 41.

^{80.} See AVANT-PROJET Title IV, 10.

^{81.} See CODE NOIR art. 43.

^{82.} See AVANT-PROJET Title IV, 1.

^{83.} See CODE THEOD. 9.12.1; id. 9.12.2; J. INST. 1.8.1.

^{84.} See Avant-Projet Title V.

^{85.} Palmer, supra note 1, at 385.

^{86.} See AVANT-PROJET Title V, 1.

^{87.} See id. Title V, 2.

^{88.} See id. Title V, 3.

^{89.} See Palmer, supra note 1, at 385.

- 28. We declare that slaves can have nothing that is not their master's, and everything that comes to them through work, or by the liberality of other persons, or otherwise by whatsoever title, is acquired in full ownership by their owner, without the children of the slaves, their father and mother, and all others whether free or slaves, being able to claim anything by succession, disposition *inter vivos* or *mortis causa*: ⁹⁰ such dispositions were declared null, together with all promises and obligations that they will have made, as being made by persons incapable of disposing and contracting as if in control. ⁹¹
- 29. Nonetheless, we wish owners to be bound by that which the slaves have done by their order and command, together with whatever they have managed and traded in their shop, and for the particular type of business of which their masters put them in charge: they will be liable only up to the amount that has turned to the profit of their owners; the *peculium* of the said slaves that their owners preferentially deducted what is due to them, unless the *peculium* consists in whole or in part in merchandise of which the slaves have permission to deal with separately, on which the owners only come in for contribution 'au sol la livre' with the other creditors. ⁹²

Professor Palmer concedes that the references to the *peculium* and other instances of the owner's liability make plausible the notion of Roman borrowing. Indeed, the borrowing is incontestable. Article 29 of the *Code Noir* reproduces the Roman so-called *actiones adjecticiae qualitatis* in the following order: The *actio quod iussu* for contracts made with the owner's order; the *actio institoria* where the slave was put in charge of a business; and the *actio de peculio et de in rem verso*, for contracts made with the *peculium* and for other transactions, up to the amount of the *peculium* or to the extent that the owner benefited. The conclusion of the article relates to the *vocatio in tributum*, a call for bringing into contribution, in which the owner was to divide the *peculium* among the creditors. The edict that gave the *actio tributoria* against an owner who fraudulently kept part of the *peculium* back spoke not of *peculium*, but of *merx perculiaris*, ⁹⁴ wares

^{90.} *Inter vivos* and *mortis causa* are in French in the Code but there is no obvious English equivalent.

^{91.} CODE NOIR art. 28 (1685).

^{92.} Id. art. 29.

^{93.} See Palmer, supra note 1, at 386.

^{94.} See, e.g., Otto Lenel, Das Edictum Perpetuum, 271 (3d ed., 1927). For the interpretation of *merx peculiaris*, see also Dig. 4.14.1.pr. (Ulpian, Edict 29).

forming the *peculium*, and this specific notion appears in article 29 of the *Code Noir*. 95

Professor Palmer, conceding the Roman origins via the Parisian draftsmen of the rules, says it is important to explain why. I agree. His explanation is that the rules "had no source in local legislation, nor did previous memoranda or the *avant-projet* discuss them." But this is no true explanation, for it merely prompts the questions: why was there no local legislation, and why did memoranda and the *Avant-Projet* not discuss such rules? It is not sufficient to claim "that the *avant-projet* had already settled the essential policy regarding incapacity of slaves."

We have come to what I believe is the crux of the whole issue of the impact of Roman law on the Code Noir. In the earlier titles of the Avant-Projet there are few traces of Roman law. But then, there could not be. For Title I,99 dealing with religion, there could be little use made of Roman law partly because Christianity had made so few inroads into the law, and partly because, as a result of the "Law keeps out" approach, such issues between owner and slave were mainly ignored by the law. For Title II,100 on sustenance and clothing of slaves, there was no Roman law because of the same principle of "Law keeps out." For Title III,101 on policing, there could be no recourse to Roman law because the relevant Roman law was unknown. Policing and other administrative matters were of no interest to the Roman jurists. But for Title V, and so far we have looked only at slaves' contracts, 102 there was Roman law, it was known, and it does figure predominately in article 29 of the Code Yet it is absent from the Avant-Projet and earlier local Noir. discussions. Roman law where it existed and was known was inserted in large measure by the Parisian draftsmen who were remote from local conditions in the Antilles.

Why is Roman law absent here from the Avant-Projet and earlier local legislation? I suggest there are two possibilities. The less

^{95.} See CODE NOIR art. 29. For the actiones adjecticiae qualitatis, see generally WATSON, SLAVE LAW, supra note 22, at 91-99. While article 29 of the Code Noir is rather garbled, that does not affect the main issue.

^{96.} See Palmer, supra note 1, at 386.

^{97.} *Id*.

^{98.} Id.

^{99.} See supra notes 12-33 and accompanying text.

^{100.} See supra notes 34-39 and accompanying text.

^{101.} See supra notes 40-56 and accompanying text.

^{102.} See supra notes 57-98 and accompanying text.

plausible is that when relevant Roman law existed and was known it was simply assumed in the Antilles to apply, unless the contrary appeared from legislation. This was very much the position in the colonies of the Dutch West India Company, hence the emphasis in the local *placaaten* on policing and bureaucratic control. This possibility I think is unlikely because, beginning with the Mémoire of Louis XIV to his Intendant, dated 30 April, 1681, the royal instructions were, in effect, to create a slave code. The other possibility is that there was really no law on this matter in the Antilles, and that it was created in Paris on the basis of Roman law.

It remains to add that article 28 of the *Code Noir*, which follows *Avant-Projet* Title V, 2, is fully in line with Roman law. ¹⁰⁵ Likewise article 30, which disqualified slaves from holding offices that had a public function, from being agent for another than the owner, and from being witnesses in civil or criminal proceedings, ¹⁰⁶ is closely similar to Roman law. ¹⁰⁷

For Avant-Projet Title VI, "Des Saisies des Esclaves et de leur qualité Mobiliaire" (On Seizure of Slaves and their quality as Moveables), ¹⁰⁸ Roman law could not be relevant. Provisions 1, 2, and 3 of Title VI, which are followed by article 48 of the Code Noir, prohibited slaves between fourteen and sixteen years of age who were working in sugar or other factories from being seized for debt, and provided that a seized factory be sold apart from its slaves. ¹⁰⁹ In Roman law there was no seizure for debt. Provision 4 of Title VI, followed by article 44 of the Code Noir, declared slaves to be movable property. ¹¹⁰ Roman law, unlike French law, did not draw a distinction between movables or immovables, though of course in the nature of things some legal rules could apply only to land.

Avant-Projet Title VII, "De la liberté accordée aux Esclaves" (of freedom granted to Slaves), ¹¹¹ is of particular importance. Provision 1 of Title VII reads:

^{103.} See WATSON, SLAVE LAW, supra note 5, at 102-14.

^{104.} See Palmer, supra note 1, at 367.

^{105.} Cf. WATSON, SLAVE LAW, supra note 22, at 90-114 (discussing slaves' contracts and masters' acquisitions through slaves).

^{106.} See CODE NOIR art. 30 (1685).

^{107.} In restricted circumstances, slaves could be witnesses in both civil and criminal proceedings. See WATSON, SLAVE LAW, supra note 22, at 84-86.

^{108.} See Avant-Projet Title VI (1683).

^{109.} CODE NOIR art. 48; AVANT-PROJET Title VI, 1-3.

^{110.} CODE NOIR art. 44; AVANT-PROJET Title VI, 4.

^{111.} AVANT-PROJET Title VII.

Owners may grant freedom to their slaves by testaments or other acts *inter vivos*, which will render them capable of receiving the legacies or gifts which will be made to them by the said owners in the same acts in accordance with which they are held to be free; and they will enjoy the privileges of the other inhabitants without being obliged to take out letters of naturalization although they were born in foreign countries. 112

As Professor Palmer observes, this provision on manumission is remarkably liberal.113 He also claims: "This provision captured the essence of manumission without Roman overtones in its language."114 And he adds: "It would be presumptuous to argue that the very concept of manumission has to be exclusively Roman or that they would not have thought of it except by reference to Roman law."115 Now, no one would, I believe, claim that the concept of manumission was exclusively Roman. But this issue is different. Avant-Projet Title VII, 1 is remarkably Roman. First, it permits owners to free slaves, both by testament and inter vivos. 116 Second, no ground for manumission seems to be needed or stated. 117 Third, no intervention by the state is needed.¹¹⁸ Fourth, slaves may be given legacies or gifts. 119 Last, and above all, the simple act of the owner, without any intervention of the state, not only makes the slaves free but also a citizen. 120 This combination of factors is straight Roman law from the time of Justinian.¹²¹ To the best of my knowledge, it is found in no system where the law was not derived from Rome.

But there is much more to the issue of the influence of Roman law on Antillean manumission. The Parisian draftsmen of the *Code Noir* clarified the provision of the *Avant-Projet*, making even more evident the impact of Roman law. Article 55 of the Code specified that owners aged twenty may manumit without giving reasons! This is precisely the Roman rule of Justinian's *Institutes*. Article 56 of the *Code Noir* declared that the slaves who were made universal legatees by their owners, or named executors of the will, or tutor to

^{112.} Id. Title VII, 1 (author's translation).

^{113.} See Palmer, supra note 1, at 387.

^{114.} Id.

^{115.} Id. at 387-88.

^{116.} See AVANT-PROJET Title VII, 1.

^{117.} See id.; WATSON, SLAVE LAW, supra note 22, at 23-24.

^{118.} See AVANT-PROJET Title VII, 1.

^{119.} See id.

^{120.} See id.

^{121.} See WATSON, SLAVE LAW, supra note 22, at 23-24.

^{122.} See CODE NOIR art. 55 (1685).

^{123.} See J. INST. 1.6.4.

their owners' children were freed. 124 This tacit manumission, not to be found in the *Avant-Projet*, is that of Roman law. 125

In addition, article 58 of the *Code Noir* commanded freed persons to show a singular respect to their former owners, to those owners' widows, and to their children.¹²⁶ This singular respect, *obsequium*, owed by freedmen to their patrons, was very much part of Roman law, meriting a whole title in Justinian's *Digest*.¹²⁷

Thus, the very particular Roman rules on manumission in *Avant-Projet* Title VII, 1 were given even greater Roman particularity in the *Code Noir. Pace* Palmer, the rules cannot be regarded as deriving from Caribbean experience with Roman touch-ups. It is emphatically also not the case that "the substantive and formal conditions that the *Avant-Projet* and the *Code Noir* imposed on owners were decidedly more liberal and less strict toward manumission than comparable Roman rules." Once again, when there were Roman rules and they were known, they formed the basis of the law in the *Code Noir*.

Finally, we should notice what is surprisingly absent from the *Code Noir*, namely the concept that slaves are intrinsically inferior because of their race. Certainly, slaves have a lowly position because they are slaves, but not obviously from the substance of the *Code Noir* because they are black Africans or of African descent. Yet Antillean slavery was racist. In Rome, slavery and slave law were not based on race. So great was the influence of Roman law that, although Antillean slavery was racist, the substance of its slave law does not appear to be so.

Professor Palmer points out to me in correspondence¹³⁰ that the very title, *Code Noir* (Black Code), rather than *code de l'esclavage* (Slavery Code), is racist; that the Déclaration du Roy of January 1685, which introduces the Code, more than once uses the term "nègres"

^{124.} CODE NOIR art. 56.

^{125.} See CODE JUST. 6.27.5.1, 6.27.5.1a, 6.27.5.1b. This is true, except that there were no testamentary executors at Rome.

^{126.} CODE NOIR art. 58.

^{127.} DIG. 15.37.

^{128.} But see Palmer, supra note 1, at 388 (stating that "an initial policy of free manumission came from the Caribbean, and the Roman flourishes are a secondary dimension, refining that policy").

^{129.} *Id.* at 388-89. Professor Palmer refers to Jaubert, *supra* note 65, at 328, but Professor Jaubert is mistaken.

^{130.} See Letter from Vernon Palmer to Alan Watson, supra note 24.

where slaves are meant.¹³¹ He is right. Antillean slavery was unabashedly racist. All the more significant is it then that the substance of the law does not allow this to appear clearly.

In conclusion, I have confessed my grievous sin in not researching the antecedents in the Antilles for the *Code Noir*. Professor Palmer has performed a great service to all students of slavery in the Americas by bringing forward and emphasizing these antecedents. Yet, when all is said and done, I stand by my main thesis: that when relevant Roman law existed and was known, it was the main basis for slave law in the *Code Noir*. In this regard the input of the remote Parisian draftsmen was significant. Professor Palmer, I believe, greatly exaggerates when he says: "This story of origins and authors, however, shows not only that the experience was their own, but that the *Code Noir* embodies it." 132

For the importance and the nature of legal borrowing, it would not be too significant if, in any event, police regulations were made locally. But it is truly revealing that the law of one society on basic issues such as slaves' rights to make contracts and the extent of their owners' liability for these, and owners' freedom to grant manumission and even citizenship to their slaves, can be borrowed by a vastly different society. The role of the black-African slave in the Antilles was not that of the slave banker, business entrepreneur, ship master, or medical doctor of Roman law.

Professor Palmer correctly states¹³³ that I categorically claimed that "Roman law was thus the inevitable model for the French law on slavery." So it was, I believe, just as it was in Spanish, Portuguese, and Dutch-American Law.¹³⁵

Professor Palmer suggests that it is not without importance that those who find indebtedness to Rome in the *Code Noir* are usually specialists in Roman law. ¹³⁶ I would end on a rather different note. It would be invidious to name names—and I am not thinking of Professor Palmer—but many scholars of comparative law and comparative legal history habitually downplay the role of Roman law in subsequent legal development. If one accepted, as I do, its enormous scale, then one would have to come to grips with Roman

^{131.} See id.

^{132.} Palmer, supra note 1, at 390.

^{133.} See id. at 364.

^{134.} WATSON, SLAVE LAW, supra note 5, at 85.

^{135.} See id. passim.

^{136.} See Palmer, supra note 1, at 364.

law, a laborious task. Much better just to deny its importance.¹³⁷ The wider significance of this Article, beyond the Antilles and the *Code Noir*, lies in this claim of the great impact of Roman slave law even in the Spanish, Portuguese, and Dutch-America societies that were based on very different, even racist, principles.¹³⁸

^{137.} See generally ALAN WATSON, JOSEPH STORY AND THE COMITY OF ERRORS 96-99 (1995) (discussing the reluctance of scholars to come to grips with doctrinal legal history, especially when a foreign source is involved).

^{138.} See WATSON, SLAVE LAW, supra note 5, passim.

APPENDIX

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Jest pour servir de projet-d'une ordonna reconseils habitans supplient sa majesté —

de leur accorder sur cette matiere,

WEST OF STREET

De la Religion

que les loctanes ne pourront saire prosession —

Danume autre relligion que de la catholique apostolique
et-romaine apiène de grunition exemplaire contre

les maistres,

que lors qu'il arrivera dans les jetes des Negres qui ne seront pas baptisés, les habitans qui les achetiront seront-obligez den auertir par esent-les grochres ou missionnaires qui auront soin de leur quartier assen quits puissent travailler à les jourseures

Sy lesdito l'ulanes tombent malades auant quils
Joint baptisés leurs papons seront tenus danurtir
Vésdits prestres ou missionnaires de leur maladie -

Ceux qui Verent Dija baptisis Jerent pare llemme tèmus lors quits verent atteints de quelque maladei Dangereute Den faire aduentir les prestres ou missionnaires asin quits leur administrent les Jarremens de l'eglire aspeine de dix luires l'ourrence damande auprofit de lhospital Contré les naistres qui auront manqué dexècuter le contenu es cut article et au précident ou contre les commandeurs qui en auront esté Charge's de leur part en ne-lairont pas execute

Lue les patrons seront tonus de saire baptiverles ensairs de leur loclanes dans la huitaine au plustart-dujour de leur naissance, et ne souffrirons aucuns concubinages entre les d'Esclaves qu'ils seront tenus de punir severement-lors qu'ils enauront connoissance

que les Maistres pour écuter les desordres de leurdis Esclaves les porterons au mariage et se randronsfaciles à leur ausrder les permissions qui leurs-Jeront demandées par terdits esclaves pour simarier les quels néaumoins ne les pourrons faire. Jans le Consantement de leurs maistres apeine. De nulité Comme aussy les maistres ne pourrons.

que les maistres que aurons des negres manies en fact d'Eglide ne pourront vendre deparement Thomme ou la Simme aprine destre priver Dr. celuis quies auront garde auprostit du maistre de Celuis quils auront vendu sans quis soit permis-De faire aucunes conventions au contraire d'inon lors que le marij ou la semme aurons este bannis ou chasses par authorite Dejustice que les patrons Seront-Tenus de Saire enterrer en torre Jaintes dans les Cometeires destinen a ceteffet tous leurs Esclaves Vapteres, et a'legard De. ceux qui mouront Jans avoir receu le baptesme Serons ensured la nuit Dans quelque champ vousin du lieu ougles deront mortes, que les habitans que Sont prosession de la religion pretendue refformes et les juis deronts tenus de souffrir à leurs loclaires le libre exercice de la religion Catholique apostolique et romaine et Denicutor ponstaellement tous les articles du gresent reiglement Souts peine destre Senirement Chastiel

que sous les masses segueloux réligion quits
soient-ne pourront faire travailler leurs Esclam
à la terre nij à la manufacture des sucres les
jours de sertes et-dimanches dépuis theur, deminut-jurques à lautremmuis

que les commandeurs qui serons proposez a la conduite des negres ne pourrons facie pre session du de la eatholique apostolique el romaine

que le marche des negres ne se pourra tenir - les jours de fesses et dimanches pandant la messe de parouse apeine de consistenon de toutes les denrées et marchandises que se trouveront au marche dans le temps de la messe,

Le Sa nourriture, vestement, et-Conservation des Esclaves,

Lue les maistres seront tenus de faire fournir par chaune semaine à leurs esclaues agez de din ans et au dessus pour teur nourritare deux pois et denig meture de paris de farine demagnon ou trois cassaues petant chaeune deux luires et demie au moins ou choses l'quinalanses.

ro depuis quels vontes seurez jurques a lange e du ans la mocte des vienes en Dessus, quil ne sera jamais donne deaudevie de cane su quildine pour tenir lieu de Cette Subsistance que la liberté ne sera jamais donnée ausdits-Exclaves de Tranailler pour leur compte partieu'ur le sabmedij ou quelqu'autre jour de la semaine pour decharger le maistre de leur subsistance et-nourriture Lue les maistres Jerons Tenus de fournir a'-Chaque esclave par chacun an Deux habits-De toille ou quatre aulnes de toille augre' des que ceux que contrevien dront à ce qui est ordonne par les quatre articles eig Dessus derons-condougnes. en cent sols tournois d'amande pour chaque teste demegre aciquel jus aurons manque de Lournier la nouvritoire et le vertement que Tout esclave qui demeurera inflime par Vicillesse maladie meurable ou autrement-Jem nourry of traite commo les autres sanspour sa subsistance

Le la Dotice

que definces senna faites aux loctanes daporta ancunes sortes de denréés pour vendre au marche ordinaire et dans les maisons des particuluis quies paigent un bilet ou marques connues l'eleun maistres consenant la permission expresse—

De vendre ce quies aurons declaré, et que deffences seront faites aux habitains d'achiter desdits

l'octanes ancunes d'inrées sans la permission de-leurs maistres aprine de six livres tournois d'amande à l'aception neaumoins des fruits, des legiones, du Bois à bruster, desperbe pour la pourriture des bestraux et des manufaisures.

Desd'negres qu'els pourronts vendre sans permissie expresses de leurs Maistre.

quit Jera projecte deux personnes dans chaques marche pour examiner les denrées es autres marchandises qui ij serons apportés par les de exclaves sans permission de leur maistre.

wit Jera permis a tour habitand de se saisur De Toutes les Choses dont jes trouveront lesdits esclaves Charges lors quils Viairont point De voillet de leur maistre à la charge denusier à thospital ce quits prindront austiti Negres dits en sont voisins ou aumaistre dunegre / que deffences seront Saises aurd lestaues de-Saire aucunes astembleio Dejour ou de muit Sous presente de propuer ou autrement, soit Chez leur maistre ou ailleurs, et encore moins dans lesgrands Chemins ravines, ou luis estartett ouglifont-Des combats de deffi, lesquelles assembléespasseront pour cabales et quil dera permis à tour lesdits habitans darrecter les Contrevenans. et de les conduire en sprison pour entre seuerement Chartiet, et en cas de futte ou deresistance -De tiver Dessus, permetant neaumoins aus ditstrelavier de se rejouir chen leurs maistres auce-Des museres, tambours, ou violons, lors que leurs maistres, leur en aument la permission pom-Les negres de leur maison Jeullement Jans quils wij en puissent Louffin Dautres.

permission tolered fettes astembles
composed Vauries entanes que de leux que 'eur

apparteinnent Jerons Condempnés à repaier en

leur propre is prine nom tout le dommage que

aura esté fait à leurs voisins à locasien —

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negres ou autrement en din escus damande

grour la première sois et audouble grour la secont

Commandeurs

que dessences seront faites ausdits Esclauso pour quelque cause que et puisse estre mesme aux lapermission de leur maistre de véndre des canny de sucre apeine du fouer-Contre lesdis esclauso et de dix luires tournois d'amandé tans contre les maistres que leur auront pirmis led commerce que contre ceux qui les achestirons

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Dervienbres sans autorité des justice apeine de sa

Consiste aon de les clave au profit de lhospital s

Diffences suront faites à leurs maistres de leur

Bourd's parecille peins 18 Construction ourone seulement les maistres lors quils crowent que leuridits Esclaves l'auront merite, les fivre en chaisner, les metre a'la boise et-les favo. batre De verges Liannes, où cordes, que tout esclave que frapera don maistre ou maistresse dera pendu et que celuis qui haperi one personne libre sera pour la premure sonbattu de verges et marque d'une fleur de ligs au visage it-en cas de recidius puni dejeune gylus rigourence et mesme demort Jelon lorigine On cas a l'arbitrage du juge que com esclave que votera chevaux, canalles. Mullets mulles Vocus, ou vaches Jera pung Ovpeine afflicteux et nume demort selon latrocité Ou Crime à l'arbitrage dujuge exclave que votera moutons, Cheures, Cochons sollailles, canno a Surre, pois mil magnor, ou autres legumes Lera batte de verges spar lenecutem Set marque dune flem De 4x au virage les esclaves autons cole ou fait

à dantes qua liur mainte le naistre · Jera binu De reparer le dommade ou Saban Donnes lesclane a celuir auquel le vol ou dommag. aura est fait Lue l'esclave qui sera Sugites pandant un moio et qui sera denonce ajustice par son maistre. auna les oreilles coupées et Jera marqué dune gleur De lijs au visage et sil recidine un autres sis aura le jarret conpe et deun Fleuri De lys Sur les ... Espaulles, et-la Troisienne Fois Jera punij 'ocmort ladits escloves Seront juget en matiere Criminelle par les juges ordinaires et par apelan consul-Soundain ages les formalités que Jobservent Contre les personnes libres. Toutes les procedures qui seront Saires en maxiere Criminelle Contre les Esclaves de feront dans Fais, et deffonces Serons faises ausjugen promun du Roy et-greffiers deprendre aneme lare , drown raison des de procest Et dant que les maistres Sont ce quels pennens pour Cacher les Crimes de leurs Esclaves Despeur de les pertre et qui est cause que La plus grand _ part Des Crimes Demeurent Impunis lois quinViront Mommen Voffice far le jugo, et Liprix (de lad estimain Jerajmposel Sur le Cont premient negres que serona vandus sur les premiers vaisseaux qui arriveront Vans Tiste ou la punition aura este faite que les Volance qui feront des enfant aux negressin locaves Sevent condempned en deux mille luires Or surve Damande applicable a thospital, et sy le Volant est le maistre de l'esclave jt en som prine ensemble de l'enfant-que seront Confisques au grofit-de the stal Lue I Enfant nay Demere esclave Jera esclave jusques à lamort que deffences Jeront faites aux Esetaves Deportor aucunes armes offensives ny de gros bastons a peine du Souet et de confiscaon des armes au profet de celuis qui les trouvers Saisi, à lexeption. Juillements De ceux que seront enviget à la Chasse panteun maistres et qui seront porteun Queleuri Vittle fenses Seront Saines a tour habitant

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findale sont Sief Ses exclaves Sirent en tour cas reputes meubles. protendu un droit Deux cens negres mariage qui demnt tt Sur le jonie de la Serme ou sur celui romembles pour des enclaves, Cequi sobserviera Vans les baux volontaires que les tuteurs

Lighteranis John Bustiers que auront droit de jouir des la ser la ser la ser les ser l

Les maistres pourront accorder la liberté à leurs l'ulaues par testamens ou actes entre viste de qui les rendra capables de réceuour les legs ou dans qui leur Jeront faits par les d'maistres dans les d'actes après lesquels ils seront reputes libres et jouront des privilièges des autres habitans dens entre obliges deprendre des leurs denaturalité quois quits soint nez dans les pays librangers, Les successions des l'isclaues ausquels la liberte – aura este accordée apartiendrons à leurs en fans ou autres horiteirs lotateraux libres,

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De sucre pour chaque jour de retention au payen

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que les negres libres que seront surpres voluité Des volailles et legames seront prives. Ve leur liberté et adjugées à l'haspital du lieu au levol aura este fait-

Fair a S' Christophe le 13 Sourier 1683.